

Advanced Economic History

(Master PPD & APE)

(EHESS & Paris School of Economics)

Thomas Piketty

Academic year 2022-2023

Lecture 9: Property Regimes and Political Systems in Historical Perspective (I) :

From Ternary Societies to Proprietarian Societies

(check [on line](#) for updated versions)

- Advanced Economic History (12 lectures)
- Lectures 1-8 and 11-12 are taught by E. Monnet, L. Kesztenbaum, F. Alvaredo, D. Cogneau, J. Bourdieu & P.C. Hautcoeur
- In lectures 9-10, I develop a long-run perspective on the joint evolution of property regimes and political systems.
- **Lecture 9: Property Regimes & Political Systems in Historical Perspective (I): From Ternary Societies to Proprietarian Societies**
(Monday & Wednesday November 7th & 9th 2022)
- **Lecture 10: Property Regimes & Political Systems in Historical Perspective (II): Party Systems & Inequality in Electoral Democracies**
(Monday & Wednesday November 14th & 16th 2022)
- I assume you are familiar with the material presented in the "Introduction to Economic History" course. Students who have not taken this course (or need to refresh their memory) are encouraged to go through the [syllabus and slides](#) used in this course.

- **Lecture 9: Property Regimes & Political Systems in Historical Perspective (I): From Ternary Societies to Proprietarian Societies**

The first lecture focuses on the transition from ternary societies (based upon functional political-religious-economic inequality: rulers-priests-workers) to proprietary societies (based upon a sharp demarcation between property rights and political rights) and their followers (including social-democratic, communist and post-communist societies).

Some of the material is extracted from **Capital & ideology**, chap.1-9.

- **Lecture 10: Property Regimes & Political Systems in Historical Perspective (II): Party Systems & Inequality in Electoral Democracies**

The second lecture studies the joint evolution of property/inequality regimes and party systems in electoral democracies. In particular, I stress the interaction between inequality dynamics and the structure of political cleavages and ideology (class-based vs identity-based).

Some of the material is extracted from **Capital & ideology**, chap.14-16.

See also the **World Political Cleavages and Inequality Database** (WPID.world)

Property regimes & political systems in historical perspective

- **Property regimes** = set of legal and practical rules defining property rights: what can be owned or not, what are the rights of owners and non-owners, etc. E.g. slaves or serfs? Private intellectual property or public property?
 - **Political system** = set of rules defining political rights & the organization of government: constitution, voting rights, judiciary vs executive, etc.
 - **In ancient societies, property rights & political rights were inextricably linked.** Typically, local property owners also exert political, military and judicial power. **Landlord = lord of the land... and lord of the people living on the land.**
 - **In colonial societies, political & economic rights were also closely related**
 - **To a large extent, property regimes and political systems are always inextricably linked (directly or indirectly), in ancient as well as in modern societies.** E.g. in modern electoral democracies, the possibility to tax or redistribute property depends on constitutional rules (e.g. unanimity rule in EU)
- **The history of property regimes & political systems must be studied jointly**

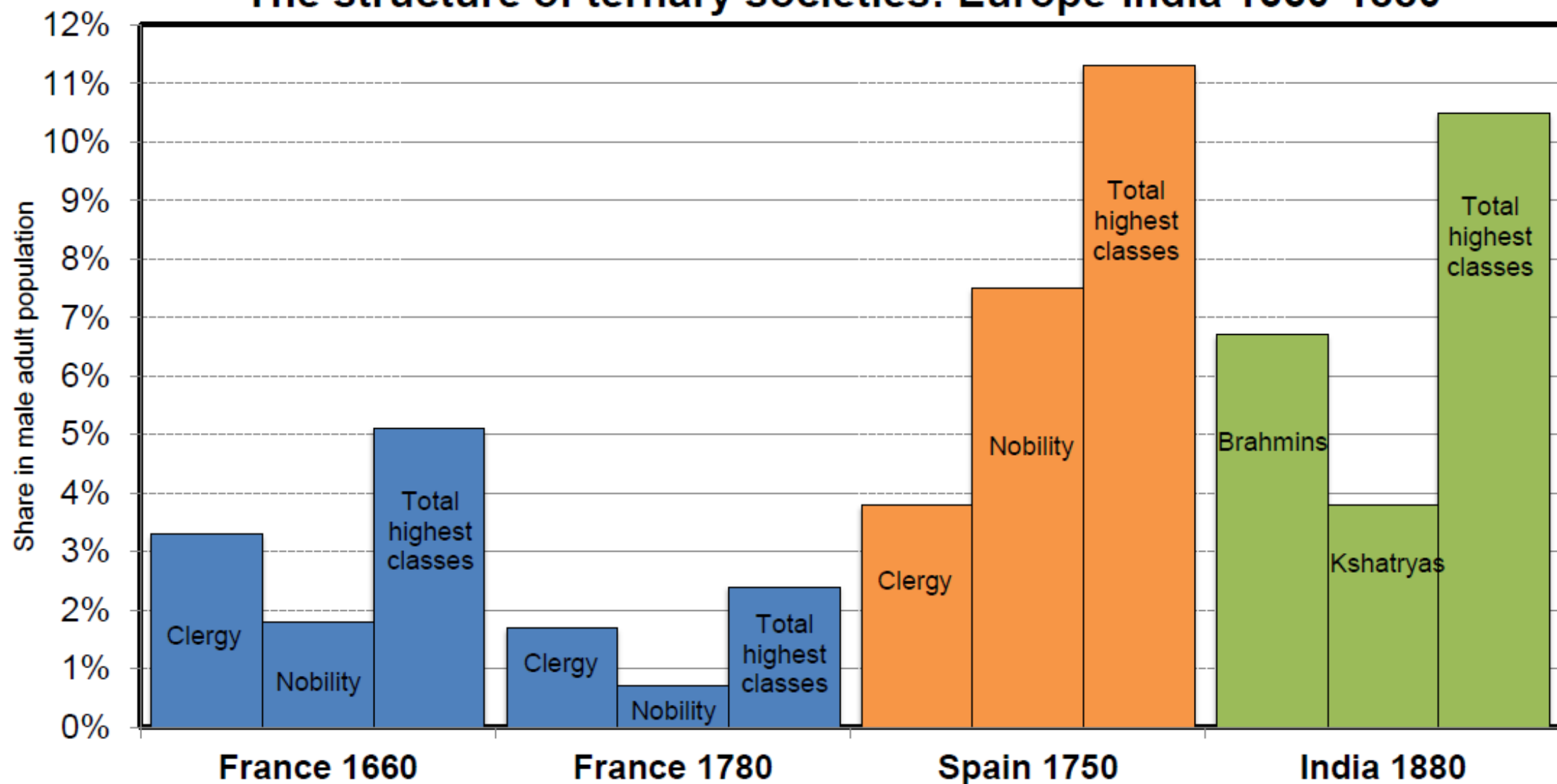
Roadmap of lecture 9

- Ternary societies: trifunctional inequality
- The changing size of the clergy and nobility in European societies of orders: the case of France
- Nobility and clergy as property-owning classes: European variants
- From ternary to proprietarian societies: the French Revolution & the invention of modern property
- Colonialism, ternary ideology and modernization: India, Japan, China

Ternary societies: trifunctional inequality

- **Oldest justification of inequality (pre-modern societies): « ternary societies »**
Core beliefs = in order to function, each society needs to divide its population into three major social groups with different status, functions and legal rights:
- **Clergy/priests/intellectuals** provide spiritual and intellectual guidance
- **Nobility/rulers/warriors** provide military protection and maintain order
- **Labourers/workers/Third Estate** (Tiers Etat) provide labour (food, clothing, etc.)
- **The first two groups are both property owners and political rulers** (temporal or spiritual): the legitimacy of their property is inextricably tied to the political and spiritual services they are supposed to provide to the entire community
- **Multiple variants** in Christian Europe, Hinduism, Islam, depending in particular on the various forms of religious ideology, family structures, forced labour, etc.

The structure of ternary societies: Europe-India 1660-1880



Interpretation. In 1660, the clergy accounted for about 3,3% of male adult population in France, and the nobility for 1,8%, for a total of 5,1% for the two dominant classes of the trifunctional society. In 1880, Brahmins (ancient class of priests, as measured by British colonial censuses) accounted for 6,7% of male adult population in India, and Kshatryas (ancient class of warriors) for 3,8%, for a total of 10,5% for the two dominant classes. **Sources and series:** see piketty.pse.ens.fr/ideology (figure 1.1).

- **In 15^c-18^c, the rise of centralized state power, education and enlightenment gradually destroys the basic justification of ternary societies**

- E.g. if security services are provided by the centralized state and the police force/military, what's the use of the nobility? If intellectual guidance is provided by philosophers, scientists and universities, what's the use of the clergy?

→ **rise of « proprietary societies » in 18^c-19^c** based upon a sharp demarcation between political and property rights, and upon a quasi-sacralization of private property

Core beliefs: in order to avoid social chaos and permanent expropriation/ redistribution, strong protection of private property by centralized state is necessary (and sufficient)

- **20^c crisis of proprietary societies:** inequality, communism, nationalism, colonialism

→ post-communist, post-colonial societies; contested rise of mixed property & social state; complexe legacy of Soviet and Chinese communism; new forms of private property sacralization & neo-proprietary ideology in 21^c: tax havens, philanthropy; complex interaction between domestic and international dimension of rising inequality: return of class-based or identity-based political conflict?

- **Why study ternary societies?**
- **1. Ternary ideologies and institutions have left a deep influence on modern societies.**
- Ternary elites have sometime managed to redefine their role and legitimacy long after the rise of centralized state power
- E.g. until 1909-1910, the British Empire was ruled by the House of Lords (temporal and spiritual lords); since 1979, the Islamic Republic of Iran is ruled under the guidance of the clergy
- In India, the ternary structure (or quaternary: brahmins, kshatryas, vaishyas, shudras) still has a strong influence today, partly because of its rigid codification under British colonial rule (castes censuses 1871-1931)
- **More generally, modern inequality is a complex mixture of money-based proprietary inequality and status-based inequality and discrimination.** This cannot be properly analyzed without taking into account the complex trajectories leading from ternary societies to proprietary societies, slave and colonial societies, and today's post-colonial societies

- **2. Ternary ideologies are more subtle than they might seem.**
- **Their purpose is to propose a system based upon the balance of power between two types of elites and two forms of legitimacy to govern:**
intellectual elites vs military elites
- Each group is supposed to accept a limitation of their power: warriors must follow the wise advices and principles set by the clergy, while the clergy must accept not to take arms (not a trivial issue among Christian monks and bishops in Europe up until the 10c-12c).
- Warriors are supposed to bring stability (end of permanent chaos and war), while clerks provide spiritual guidance (including education, health, etc.)
(=basic justification for tythe (dîme) paid to the Church)
- **In principle, the ternary ideology also favours the process of « unification » of labour, i.e. the end of slavery, serfdom and other forms of forced labour.**

- M. Arnoux, *Le temps des laboureurs. Travail, ordre social et croissance en Europe (11^e-14^e siècle)*, 2012: the rise of three-class social structure in medieval Western Europe around 1000-1400 comes together with the end of slavery/forced labour and the unification and rising dignity of labour and labourers; this also led to more intense labor supply and territorial expansion
- **I.e. the trifunctional (or ternary) society is hierarchical, but at least it unifies the class of labor (≠ slave or caste societies), and it is an important part of the rise of modern societies**
- One should not exaggerate the extent of labor unification: there is always a continuum of situations between paying « corvée » (still important in France 1789, as we will later see) and paying a rent, i.e. between « archaic » serfdom relations and « modern » property relations.

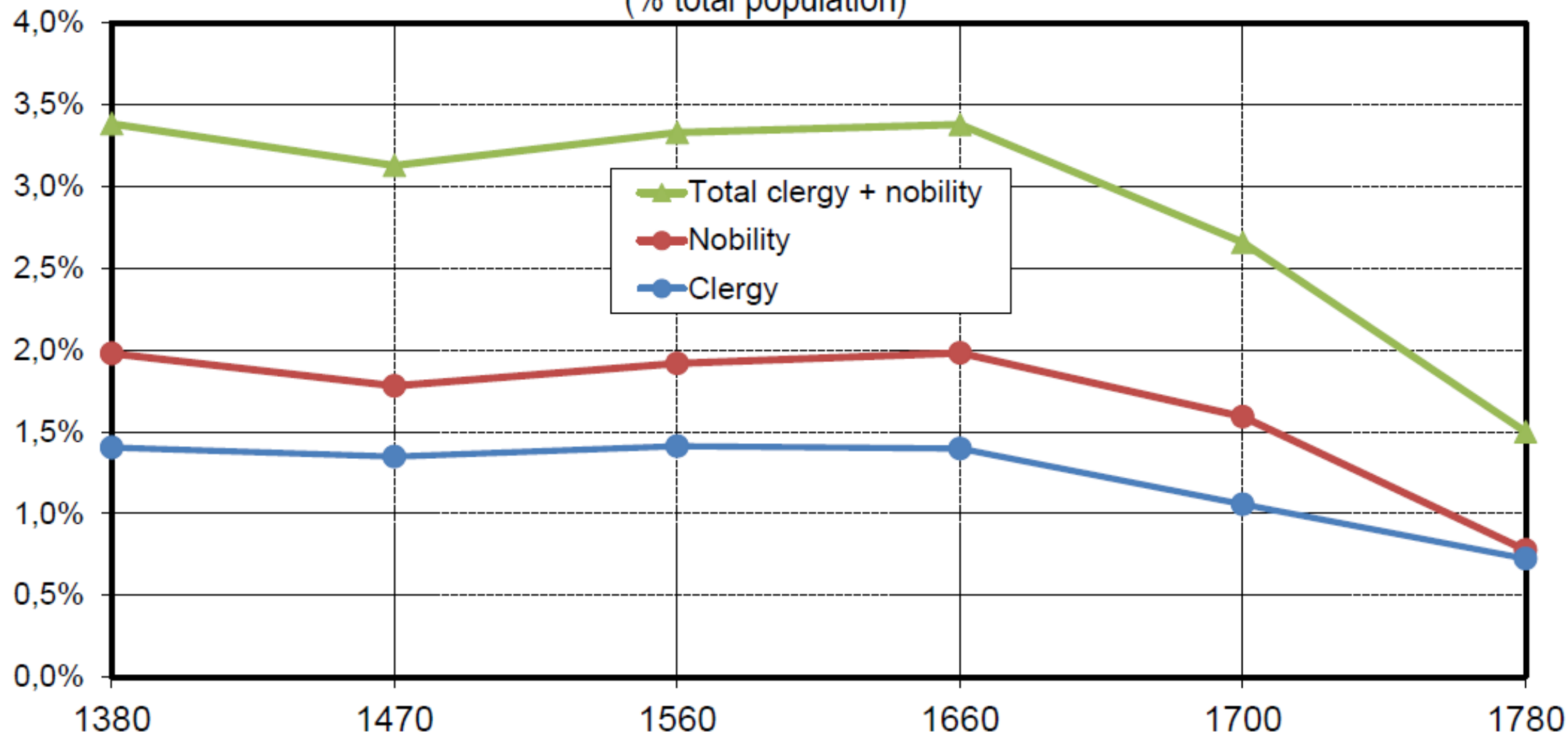
- In practice, one observes very different processes of labour unification across ternary societies: the third class (commoners, workers) is sometime permanently divided into two or three (peasants/rurals vs traders/craftsmen, or peasants/rurals vs traders/craftsmen vs untouchables), so that there are four or five basic classes
- **E.g. India's caste system: three upper castes (brahmins, kshatryas, vaishyas: free men) vs shudras (common laborers, serfs) vs dalits (untouchables)**
- In the European context, it has been often claimed that serfdom disappeared following the Black Death 1347-1350 (labor shortage → rising bargaining power of workers). True in Western Europe (to some extent), but the opposite evolution happened in Eastern Europe & the Baltics: reinforcement of serfdom partly due to rising grain exports to the West (see [Raster "Serfs and the Market" 2019](#))
- **Depending on the politico-ideological context and the balance of power, modernity can come with increased labor coercion (serfdom, slavery)**

The changing size of the clergy and nobility in European societies of orders: the case of France

- In France, Britain and most European countries, no systematic census was organized until 19c. Nobody knows the exact size of the nobility and the clergy in the 15c-18c
- Although there is considerable uncertainty about the exact levels, there is a relative consensus about the orders of magnitude and about the downward trend in the size of the nobility and the clergy during the 17c-18c

Population shares in French ternary society (1380-1780)

(% total population)



Interpretation. In 1780, the nobility and the clergy accounted respectively for 0,8% and 0,7% of total French population, or a total of 1,5% for the two dominant orders and 98,5% for the third estate; in 1660, the nobility and the clergy accounted respectively for 2,0% and 1,4% of total population, or a total of 3,4% for the two dominant orders and 96,6% for the third estate. These proportions remained fairly stable between 1380 and 1660, followed by a sharp drop between 1660 and 1780. **Sources and series:** see piketty.pse.ens.fr/ideology (figure 2.1).

Clergy and nobility in France 1380-1780

(% of total population)

	1380	1470	1560	1660	1700	1780
Clergy	1,4%	1,3%	1,4%	1,4%	1,1%	0,7%
Nobility	2,0%	1,8%	1,9%	2,0%	1,6%	0,8%
Total Clergy + Nobility	3,4%	3,1%	3,3%	3,4%	2,7%	1,5%
Third Estate	96,6%	96,9%	96,7%	96,6%	97,3%	98,5%
Total population (millions)	11	14	17	19	22	28
incl. Clergy (thousands)	160	190	240	260	230	200
incl. Nobility (thousands)	220	250	320	360	340	210

Interpretation: in 1780, the clergy and the nobility included respectively about 0,7% and 0,8% of total population in France, hence a total of 1,5% for the two dominant orders (about 410 000 individuals out of 28 millions). **Sources and series:** see piketty.pse.ens.fr/ideology (table 2.1).

Clergy and nobility in France 1380-1780

(% of adult male population)

	1380	1470	1560	1660	1700	1780
Clergy	3,3%	3,2%	3,3%	3,3%	2,5%	1,7%
Nobility	1,8%	1,6%	1,8%	1,8%	1,5%	0,7%
Total Clergy + Nobility	5,1%	4,8%	5,1%	5,1%	4,0%	2,4%
Third Estate	94,9%	95,2%	94,9%	94,9%	96,0%	97,6%
Adult male population (millions)	3,4	4,2	5,1	5,6	6,5	8,3
incl. Clergy (thousands)	110	130	160	180	160	140
incl. Nobility (thousands)	60	60	90	100	90	60

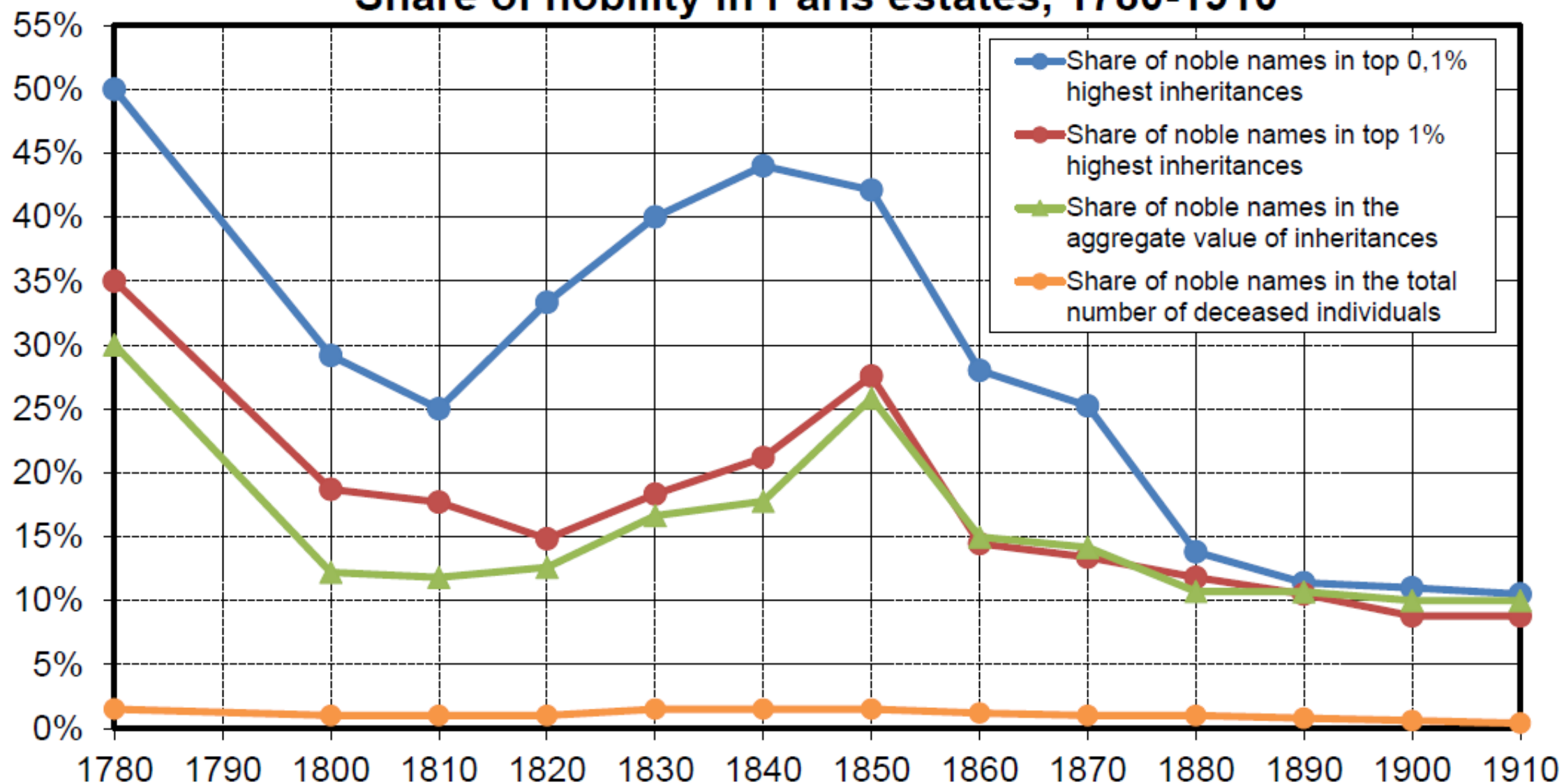
Interpretation: in 1780, the clergy and the nobility included respectively about 1,7% and 0,7% of adult male population in France, hence a total of 2,4% for the two dominant orders (about 200 000 individuals out of 8,3 millions). **Sources and series:** see piketty.pse.ens.fr/ideology (table 2.2).

- **How can we explain the decline in the size of the nobility?**
- Large demographic rise of the population (deforestation, new territories, new economic sectors, etc.)
- Beginning in the 1660s-1680s (Louis XIV), there is a clear attempt by the absolute monarchy to restrict the size of the privileged groups (partly for fiscal reasons): « official » proofs of the nobility status, etc.
- Malthusian strategies by the nobility also seem to have played a role: fewer children + primogeniture, due to defensive reasons (nobility response to the competition of new elites) and/or offensive reasons (with centralized state power, no need to have many sons to take arms in order to protect one's property)

Nobility and clergy as property-owning classes: European variants

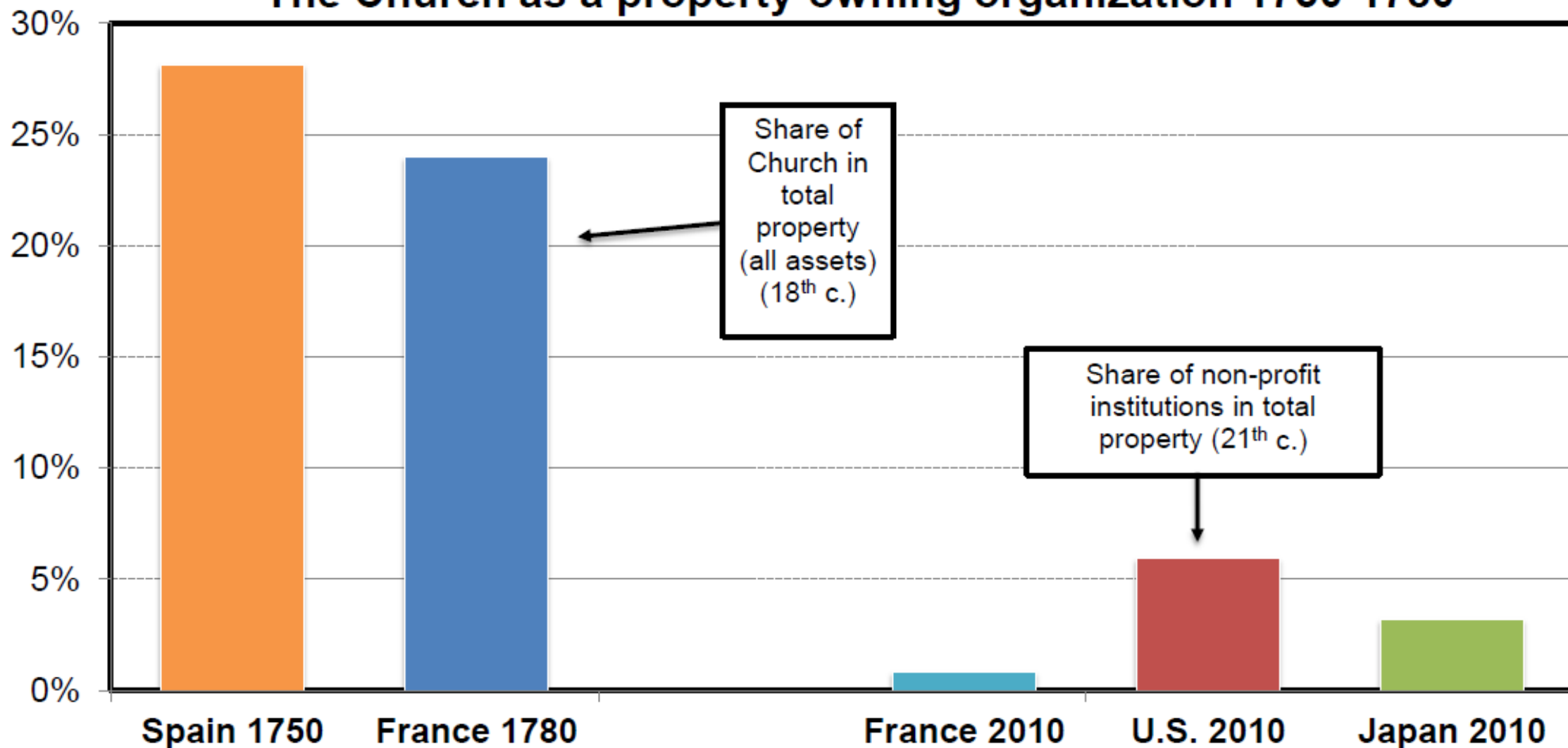
- The nobility and the clergy made up only 1%-2% of total population in 18th century France, but they owned over 50% of total land and wealth
- Nobility: about 25%-30% of total property (up to 50% among top 0,1% property owners)
- Clergy: about 25% of total property (including capitalization of ecclesiastical tythe (dîme): the Church as a quasi-state)

Share of nobility in Paris estates, 1780-1910



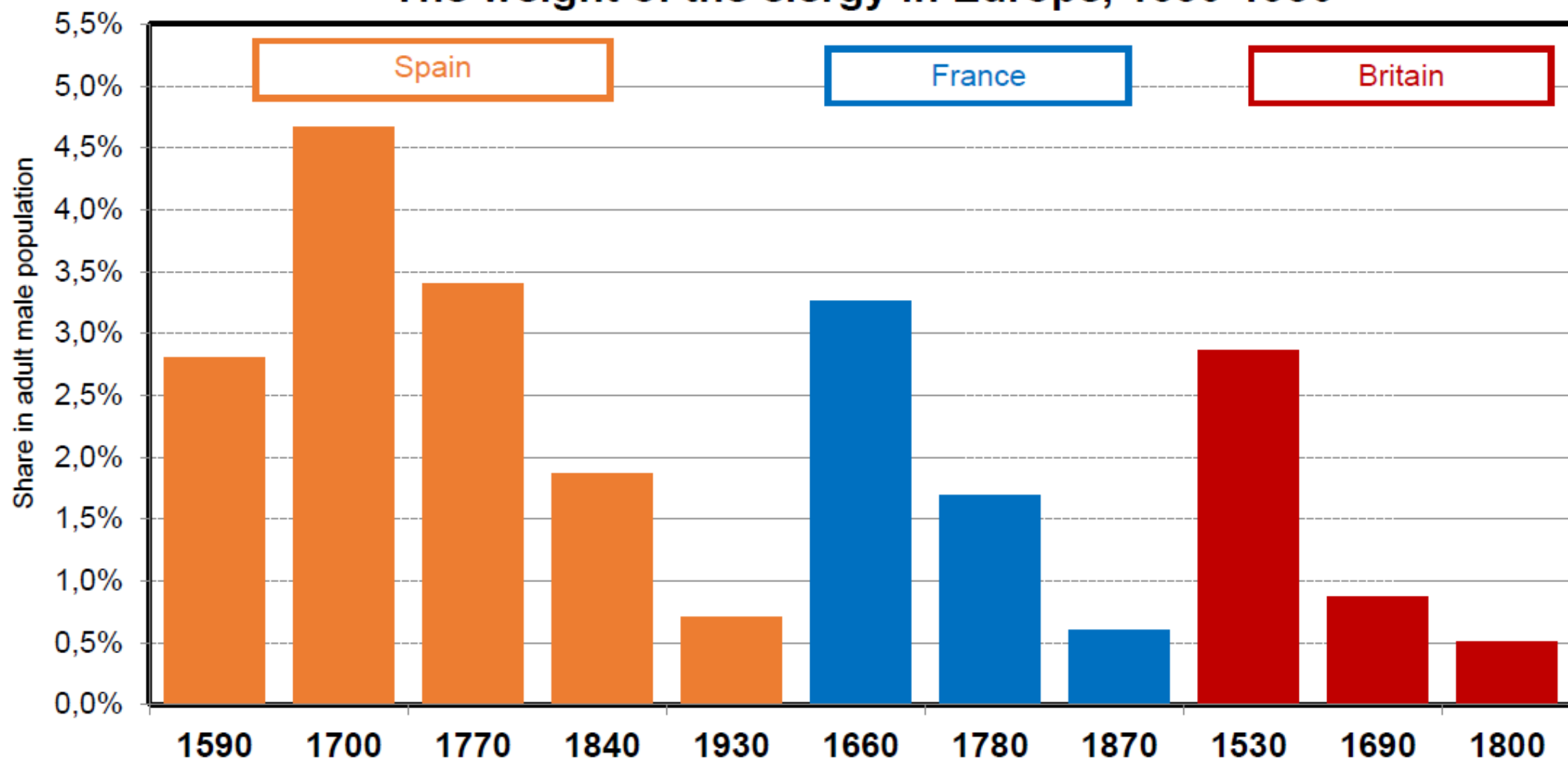
Interpretation. The share of noble names among the top 0,1% highest inheritances in Paris dropped from 50% to 25% between 1780 and 1810, before rising to about 40%-45% during the period of censitary monarchies (1815-1848), and finally declining to about 10% in the late 19th century and early 20th century. By comparison, noble names have always represented less than 2% of the total number of deceased individuals between 1780 and 1910. **Sources and series:** see piketty.pse.ens.fr/ideology (figure 2.2).

The Church as a property-owning organization 1750-1780



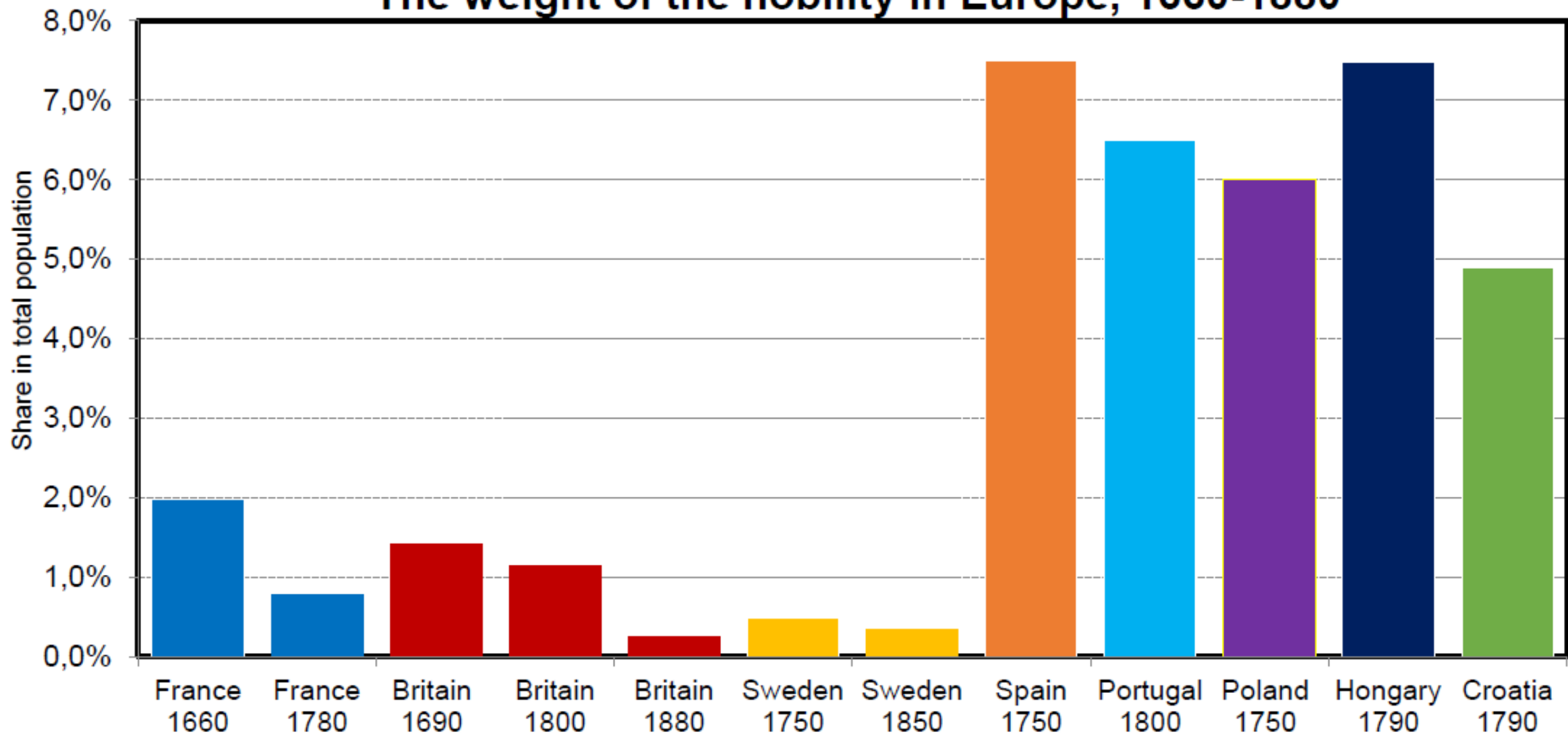
Interpretation. Around 1750-1780, the Church owned between 25% and 30% of total property in Spain and close to 25% in France (all assets combined: land, real estate, financial assets, including capitalisation of church tithes). By comparison, in 2010, the set of all non-profit institutions (including religious organizations, universities, museums, foundations, etc.) owned less than 1% of total property in France, 6% in the United States and 3% in Japan. **Sources and series:** see piketty.pse.ens.fr/ideology (figure 2.3).

The weight of the clergy in Europe, 1530-1930



Interpretation. The clergy made over 4,5% of adult male population in Spain in 1700, less than 3,5% in 1770, and less than 2% in 1840. One observes a general downward trend, but with different chronologies across countries: the fall happens later in Spain, earlier in Britain, and intermediate in France. **Sources and series:** see piketty.pse.ens.fr/ideology (figure 5.1).

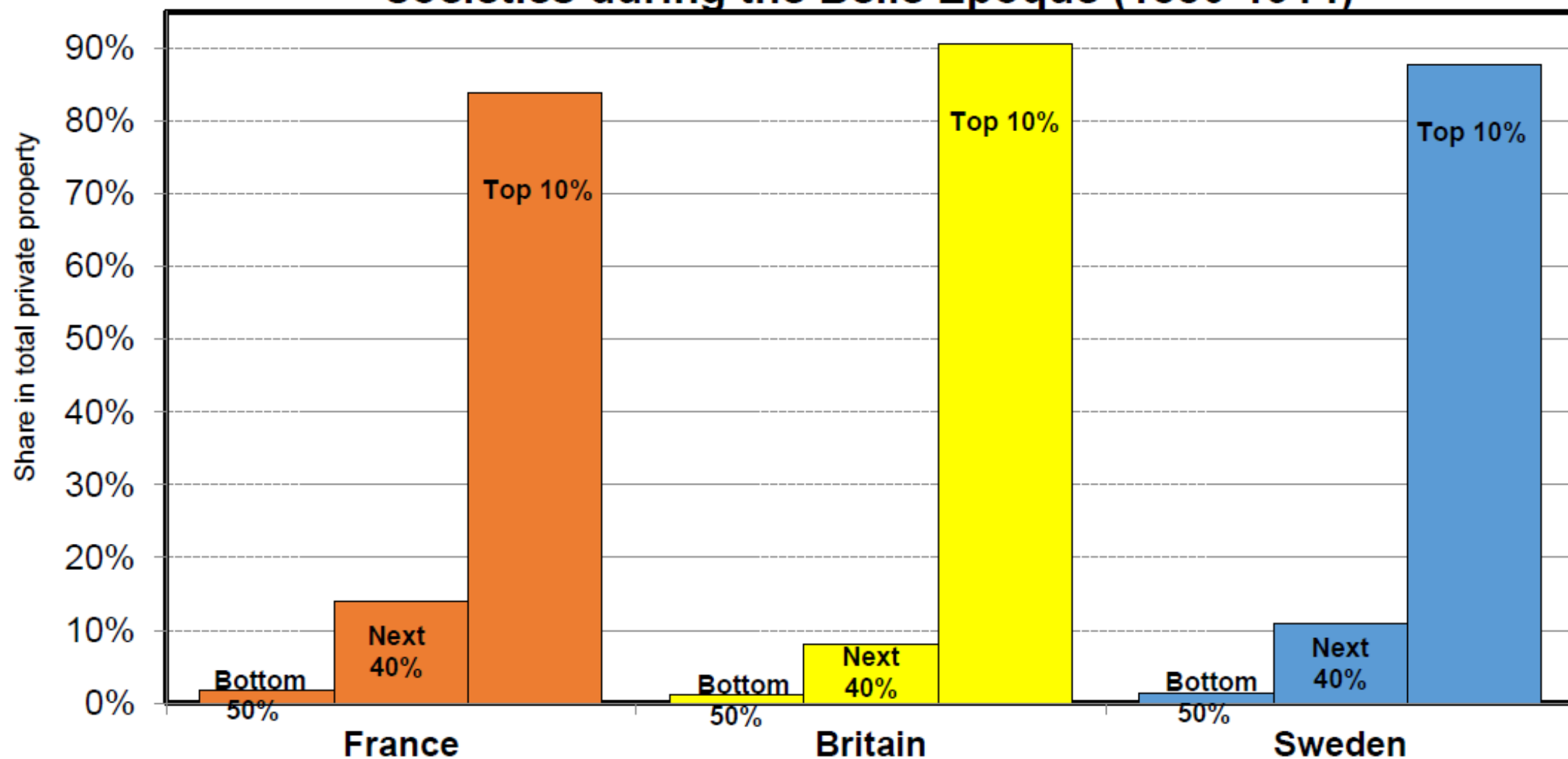
The weight of the nobility in Europe, 1660-1880



Interpretation. The nobility made less than 2% of the population in France, Britain and Sweden during the 17th-19th centuries (with a downward trend), and between 5% and 8% of the population in Spain, Portugal, Poland, Hungary and Croatia.

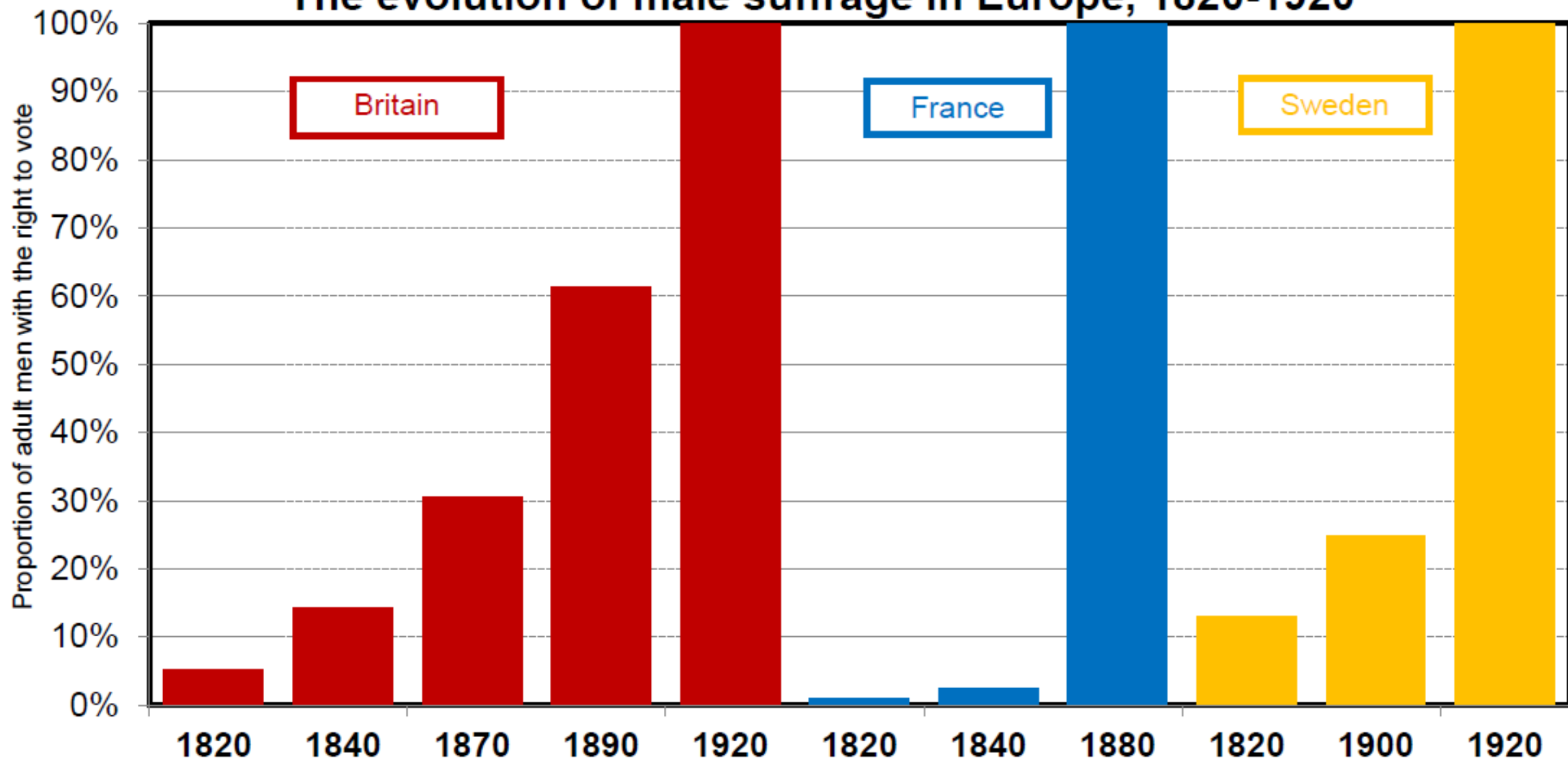
Sources and series: see piketty.pse.ens.fr/ideology (figure 5.2).

Extreme patrimonial inequality: Europe's proprietary societies during the Belle Epoque (1880-1914)



Interpretation. The share the richest 10% in total private property (all assets combined: real estate, business and financial assets, net of debt) was on average 84% in France between 1880 and 1914 (vs. 14% for the next 40% and 2% for the bottom 50%), 91% in Britain (vs 8% and 1%) and 88% in Sweden (vs 11% and 1%). **Sources and series:** see piketty.pse.ens.fr/ideology (figure 5.6).

The evolution of male suffrage in Europe, 1820-1920

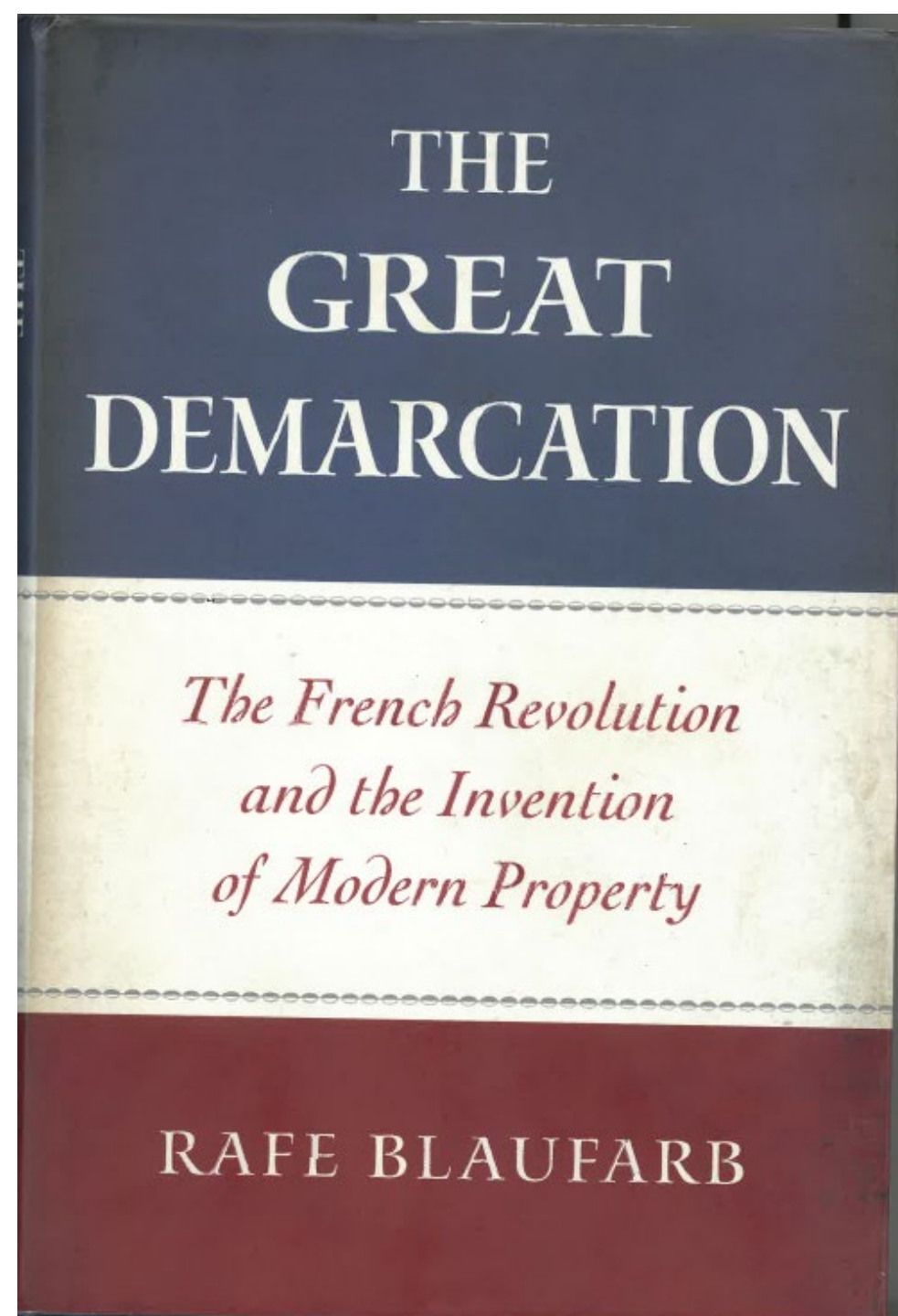


Interpretation. The proportion of adult men with the right to vote (taking into account the electoral franchise, i.e. the level of taxes to pay and/or of property to own in order to be granted this right) rose in Britain from 5% in 1820 to 30% in 1870 and 100% in 1920, and in France from 1% in 1820 to 100% in 1880. **Sources and series:** see piketty.pse.ens.fr/ideology (figure 5.3).

From ternary to proprietary societies: the French Revolution & the invention of modern property

- In ternary societies, property rights and political rights are inextricably and directly linked. The clergy & the nobility are property-owning classes and also exert political, military and judicial power.
- In contrast, proprietary societies attempt to draw sharp distinction between property rights (open to everybody, under state protection) and political-military-judicial rights (regalian power becomes state monopoly)
- In order to better understand the « great demarcation » between ternary and proprietary societies, let's start with the following book: R. Blaufard, ***The Great Demarcation: The French Revolution and the Invention of Modern Property*** (Oxford UP 2014)

- Pre-1789 France (Ancien Régime): nobility (1%) + clergy (<1%) + Tiers-Etat (« third estate ») (98-99%)
- Status-based society involving strong inequality of rights: aristocrats do not pay the same taxes & do not have the same political and legal rights as Tiers-Etat; at the beginning of the Revolution, they sit in different assemblies, just like House of Lords vs House of Commons in Britain; then sit together (Young horrified)
- Most importantly, « feudal property » involved various forms of « political » power over non-owners, e.g. judicial power, forced labor, etc.
- French Revolution: attempt to separate pure private property rights (→ legitimate) from political power (→ state monopoly). But in practice not easy to draw the line between property rights and political rights.
- **Blaufard, *The Great Demarcation***. August 4th 1789: « abolition of feudal privileges » (*nuit du 4 août*). But what is the exact definition of « feudal privileges »? = the key political conflict of the French Revolution



- Key difficulty = pre-1789 property rights always involved a mixture of « modern » property rights and « feudal » political rights, including in the language used to refer to these rights
- Typically, land property by the local nobility and clergy also involved judicial power, i.e. the right to exert justice, to issue judgments and to monitor local police force
- General principle of the law of August 4th 1789 (and decree of August 11th 1789) :
« pure » land rents are legitimate and should be consolidated or compensated (*seigneuries privées*) (i.e. the fact that nobles own land and peasants pay rent is not a problem in itself: no attempt to redistribute property or limit concentration of land)
- **But judicial or quasi-political rights** (*seigneuries publiques, charges et offices, dîmes ecclésiastiques, corvées, banalités*, etc.) **should be abolished and transferred to the central state**. E.g. the fact that nobles hold local judicial power (or are tax-exempt) is a problem = the easy part on which (almost) everybody agrees.
- **In practice, the complication comes from the fact that many payments had a dual nature: they expressed both « legitimate » property rights and « illegitimate » political rights**
- *Lods, corvées, banalités, dîmes*, etc: are these expressions of local oppressive power by nobility and clergy on commoners, and can this be part of legitimate property and social relations which the state needs to consolidate?

- **Lods** = payment made by the peasant (or the holder of usage right or *seigneurie utile* on the land) to the landlord (or the holder of the full property right or *seigneurie directe* on the land) when the usage right is sold to someone else = similar to modern real-estate transaction tax (droits de mutation, stamp duties), except that they were made to private landlords. Very closely linked to judicial/political power exerted by local nobles (property registration).
- **Lods** were often much larger than the land rents themselves: typically between $\frac{1}{3}$ and $\frac{5}{6}$ of land value (10-20 years of rent) for peasants who wanted to buy back lods from landlords

- **1789-1790: « historical doctrine » (very conservative).** I.e. as long the historical origin of land rights is contractual, then all monetary land rights are legitimate, whether they are called rents or lods or cens or anything else (except pure judicial rights) and should be consolidated or compensated. Pb: how can you prove the contractual origin of land rights?
 - **1789-1790:** general presumption that most land rights are legitimate and have a contractual origin (unless specific documents prove the opposite): « *Nulle terre sans seigneur* ». But in some regions (south) the opposite presumption applies: « *Nul seigneur sans titre* ». In the summer of 1789, peasants start burning castles and property titles...
 - **1792-1794: « linguistic doctrine » (more redistributive).** I.e. if land rights use « feudal » language such as lods, cens, corvée, banalité, etc., then there is a presumption that these rights are illegitimate and should be abolished with no compensation.
 - Pb: lots of rural and urban property titles bought by non-nobles before 1789 used « feudal » language (like lods) in order to refer to « legitimate » rental income → a complete suppression of these rights would have led to massive and arbitrary redistribution
- lots of exceptions (incl. in 1792-1794, e.g. in cases where contractual origins of lods could be established), lots of judicial disputes and court litigations all along the 19th century

- **Other pb: « corvée »** = in some cases, peasants were supposed to give one day (or two days or three days...) of labour to the landlord to cultivate the landlord's own land. Is this illegitimate (i.e. is this a survival of the time of serfdom or slavery, thereby proving a violent, non-contractual origin), or is this simply a legitimate, contractual land rent that has not been relabelled using post-serfdom language?
- « **Banalité** »: obligation for peasants to use specific equipments operated by a landlord, e.g. a grain mill or oven or winepress. These were abolished, except in some cases where the contractual origin or quasi-tax-vs-public-good nature could be established
- « **Dîme ecclésiastique** »: quasi-tax payments made to the Church (even when the land did not fully belong to the Church). Generally abolished with no compensation, in spite of the warning by clergy members that in some cases the dîmes were financing local public services (education, health) provided by religious organizations.

Global redistributive impact of French Revolution = very difficult to establish

- 1815: compensation of aristocrats for lost rent (« milliard des émigrés »)
- 1789-1815: church property was redistributed much more than aristocratic property
- Significant redistribution of land toward small farmers, but even more so to large farmers (especially from church property, and to a lesser extent from aristocratic property)
(**Abbé Sieyès: « the abolition of *dîmes* will benefit rich property owners, not the poor »**)

Complete redefinition of the very notion of property rights: strengthening of individual monetary property rights; weakening of local power and control by the elites (nobles, priests)

- **Key episode in the rise of modern personal freedom:** in the long-run, centralized state is arguably better able to guarantee individual rights than local ternary elites (nobles, priests)
- **But also key episode in the tightening of the power of private property owners** over non-owners; this contributed to extreme « proprietary » inequality during 19^c
- **In some cases, brutal dismantling of local public services:** lower level judicial courts used to be operated by local landlords; schools ad hospitals operated by local religious organisations; it took time to replace previous financing (lods, cens, dîmes) by state and communal finance
- Progressive wealth & inheritance taxes would have been useful to make the process more equitable... but everything happened very fast: conservative & Napoleonic reaction 1795-99

- Blaufard, *The Great Demarcation*, 2014: very nice articulation between judicial archives illustrating concrete cases and more abstract legal/philosophical debates; between **short-run political history** and **long-run intellectual history**
- **Long-standing controversies 16^c-18^c about state centralization and the role of nobility**
- Bodin-Loyseau-Quesnay (absolutist, physiocrats, anti-nobility): « during the early Middle Ages, the Frank nobility exploited the king's weakness to capture more local power, it is time to correct this and to let the monarch and the centralized state take care of the future of the kingdom » → sovereignty/taxation vs private property
- Boulainvilliers-Montesquieu (anti-centralized state, pro-nobility) : « maybe the Frank nobility took power by violence; but they later gained their legitimacy by protecting the population against Normans and Hungarians and should keep their judicial powers; if we accept absolutism, then the French monarch will become like a Turkish despot »
- **In a few years (1789-1794), practical legal answers on the definition of legitimate vs illegitimate property and power had to be provided: highly chaotic process**
- See also books by J. Israel on « radical Enlightenment » vs « moderate enlightenment »: some debates happened before the Revolution (i.e. same Chamber for nobility & commoners? Diderot yes, Voltaire no); many issues had to be addressed on the spot

- Proposals were made to introduce sharply progressive taxes on income and inheritance were made during the Revolution. But they were never implemented (except on a temporary basis in 1793-1794: forced loans based on income brackets).
- No attempt to organize a progressive redistribution of land or other assets (on the basis of plot size or values): there were many controversies about corvées vs loyers (rent) vs lods, but without taking the levels into account
- Different trajectories could have happened; but in the end the legal and fiscal system adopted in 1789-1815 was very favourable to large property owners and led to rising concentration of property in France during the 19th century and up until World War 1

Some progressive tax projects in 18th century France

Graslin : progressive tax on income

(Essai analytique sur la richesse et l'impôt , 1767)

Multiple of average income	Effective tax rate
0,5	5%
20	15%
200	50%
1300	75%

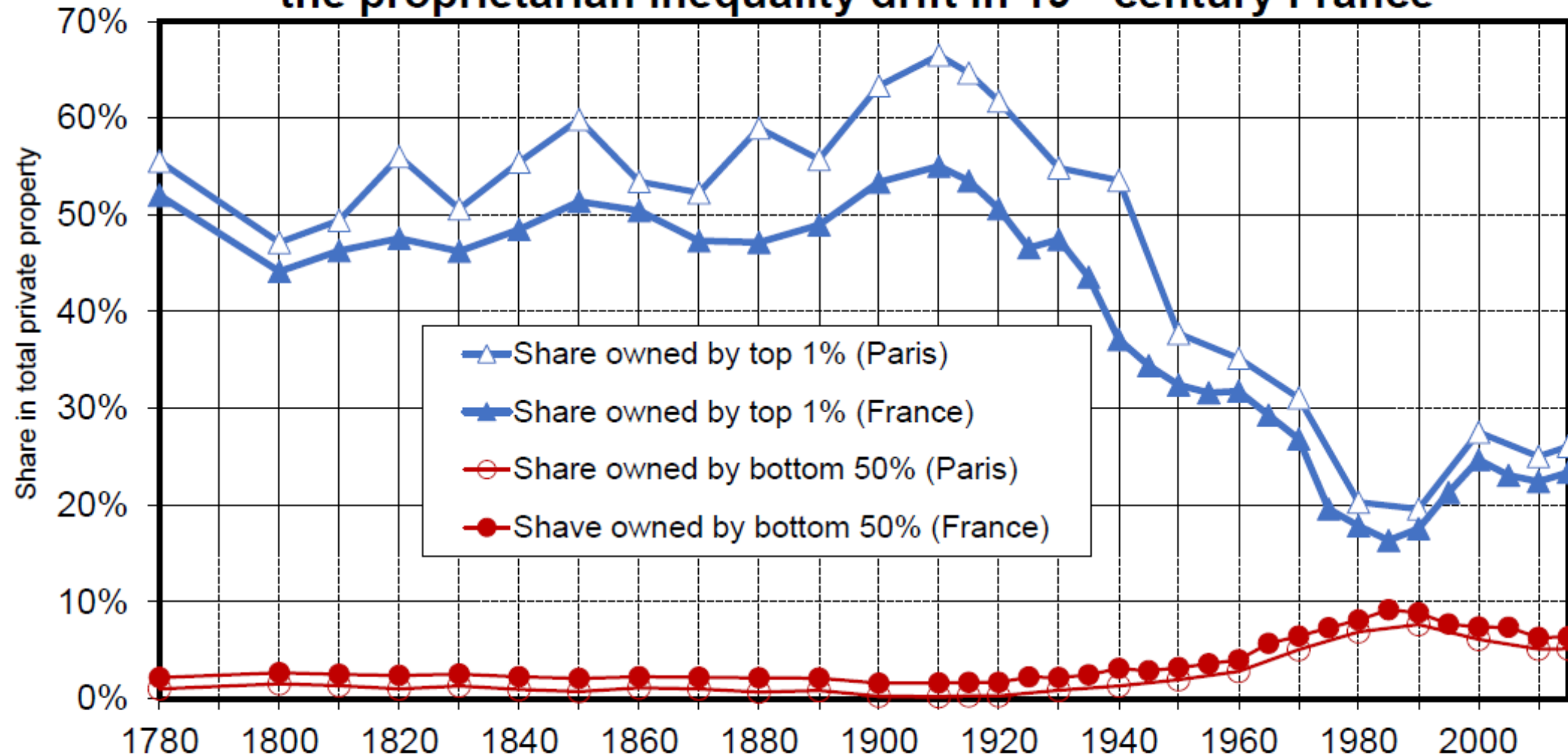
Lacoste : progressive tax on inheritance

(Du droit national d'hérédité, 1792)

Multiple of average wealth	Effective tax rate
0,3	6%
8	14%
500	40%
1500	67%

Interpretation. In the progressive income tax project presented by Graslin in 1767, the effective tax rate rose gradually from 5% for an annual income of 150 livres tournois (about half of average per adult income at the time) to 75% for an annual income of 400000 livres (about 1300 times average income). One observes a comparable progressivity with the progressive inheritance tax project presented by Lacoste in 1792. **Sources:** see piketty.pse.ens.fr/ideology (table 3.1).

The failure of the French Revolution: the proprietarian inequality drift in 19th century France

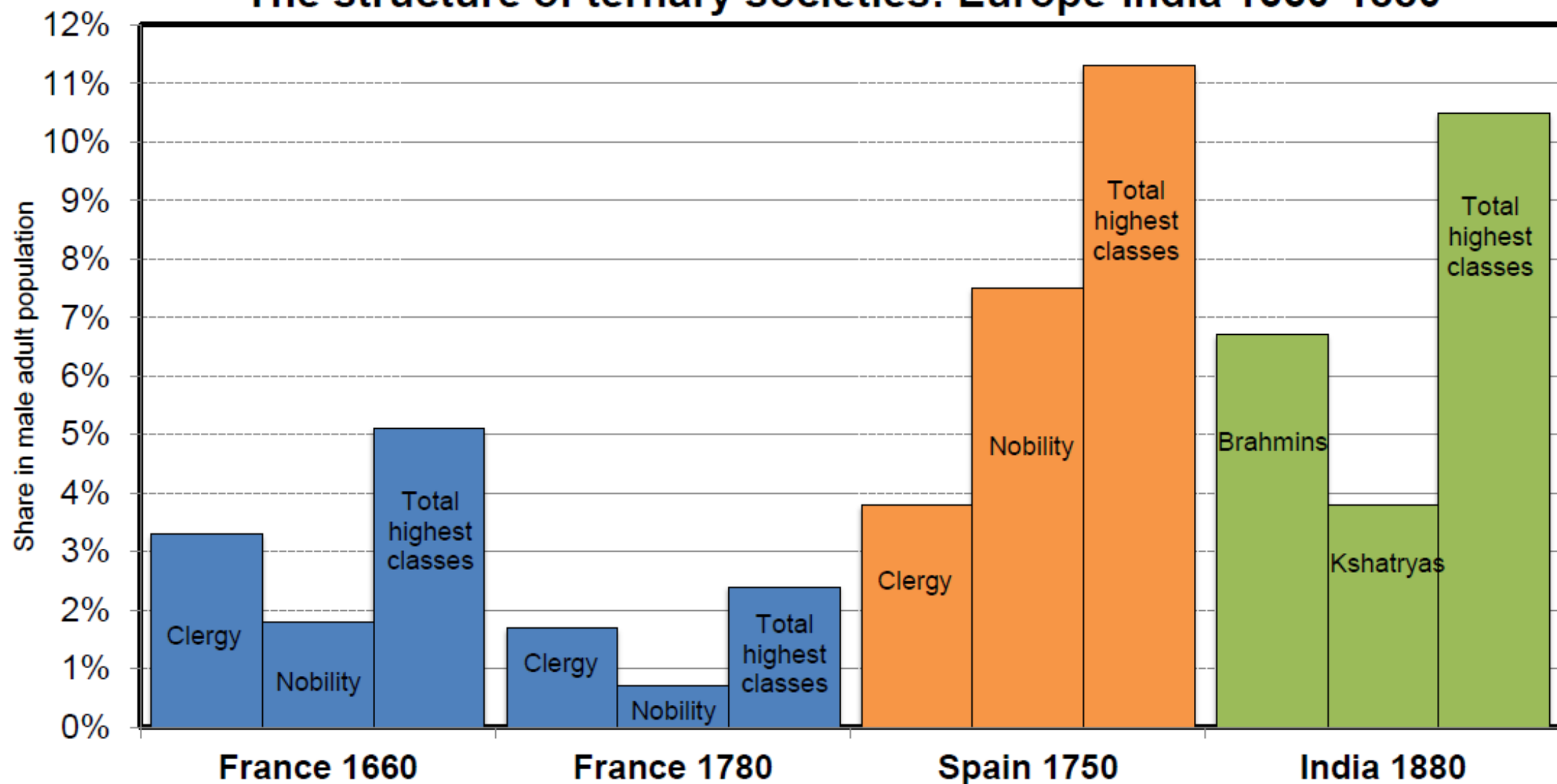


Interpretation. In Paris, the richest 1% owned about 67% of total private property in 1910 (all assets combined: real, financial, business, etc.), vs. 49% in 1810 and 55% in 1780. After a small drop during the French Revolution, the concentration of property rose in France (and particularly in Paris) during the 19th century and until World War I. In the long run, the fall in inequality occurred following the world wars (1914-1945), rather than following the Revolution of 1789. **Sources and series:** see piketty.pse.ens.fr/ideology (figure 4.1)..

Colonialism, ternary ideology and modernization: India, Japan, China

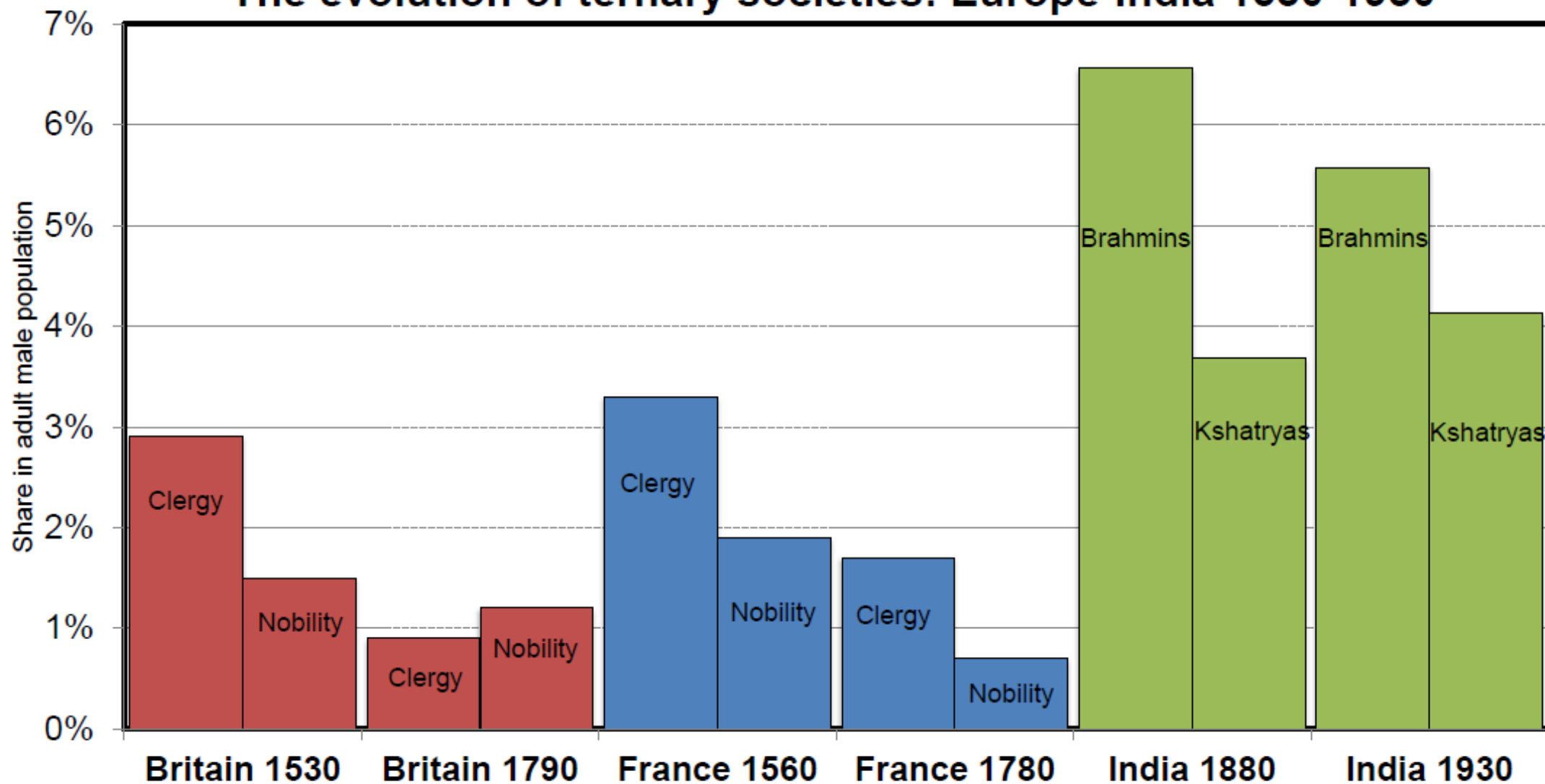
- **Colonialism had a major impact on the modernization trajectories followed by the various societies** and the way they evolved from trifonctionnal to proprietarian and post-proprietarian ideologies
- **India:** rigidification of caste via British colonial censuses 1871-1931
- **Iran:** muslim clergy managed to appear as the main force of resistance against colonialism and corrupt military-business elite
→ 1979 revolution, theocratic republic dominated by clergy
- **Japan:** major trauma after 1853-1854 humiliation by Western navy
→ Meiji era 1868, end of Shogun & traditional warrior class power, huge investment in industrialization and education (& military)

The structure of ternary societies: Europe-India 1660-1880



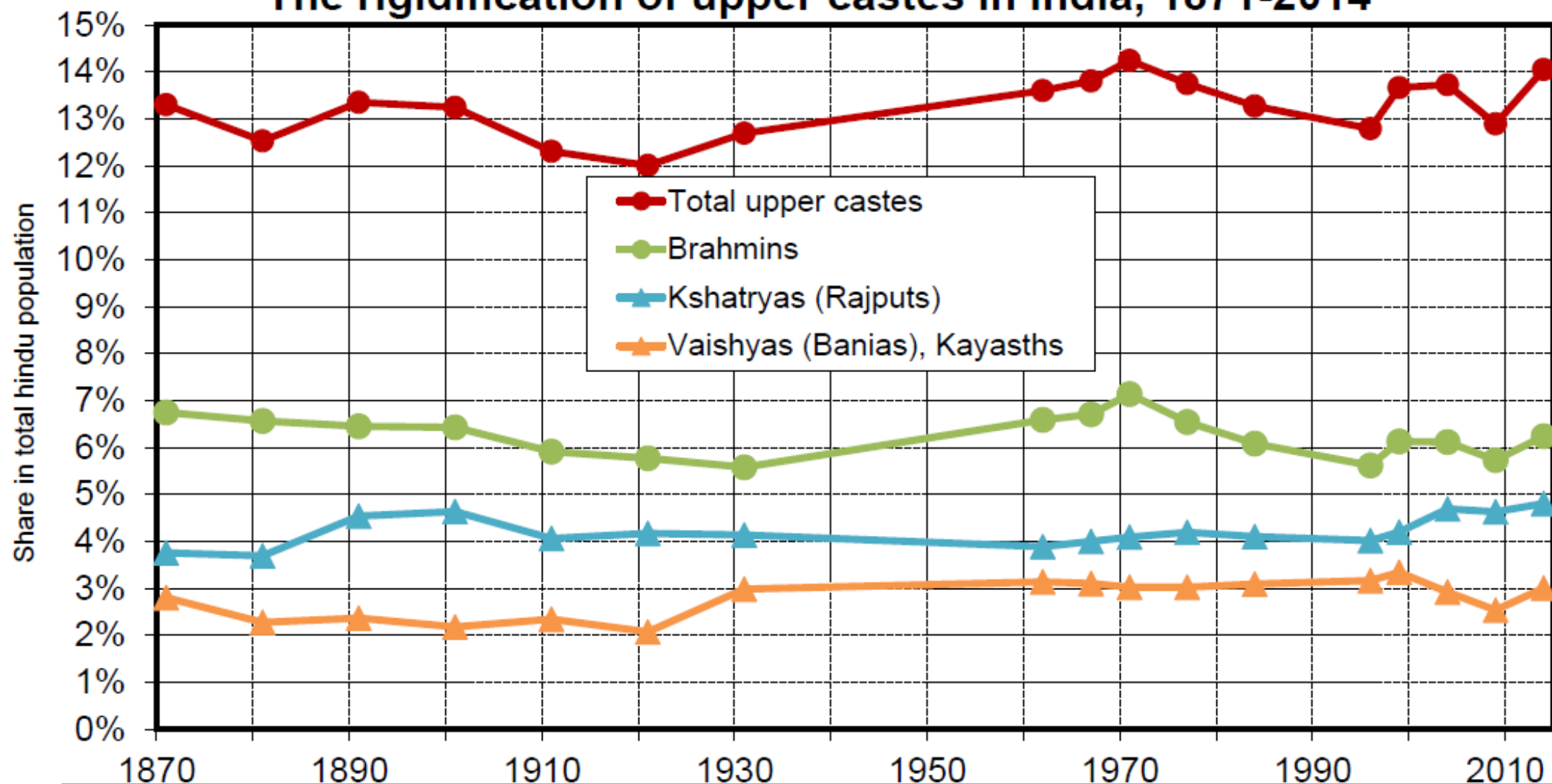
Interpretation. In 1660, the clergy accounted for about 3,3% of male adult population in France, and the nobility for 1,8%, for a total of 5,1% for the two dominant classes of the trifunctional society. In 1880, Brahmins (ancient class of priests, as measured by British colonial censuses) accounted for 6,7% of male adult population in India, and Kshatryas (ancient class of warriors) for 3,8%, for a total of 10,5% for the two dominant classes. **Sources and series:** see piketty.pse.ens.fr/ideology (figure 1.1).

The evolution of ternary societies: Europe-India 1530-1930



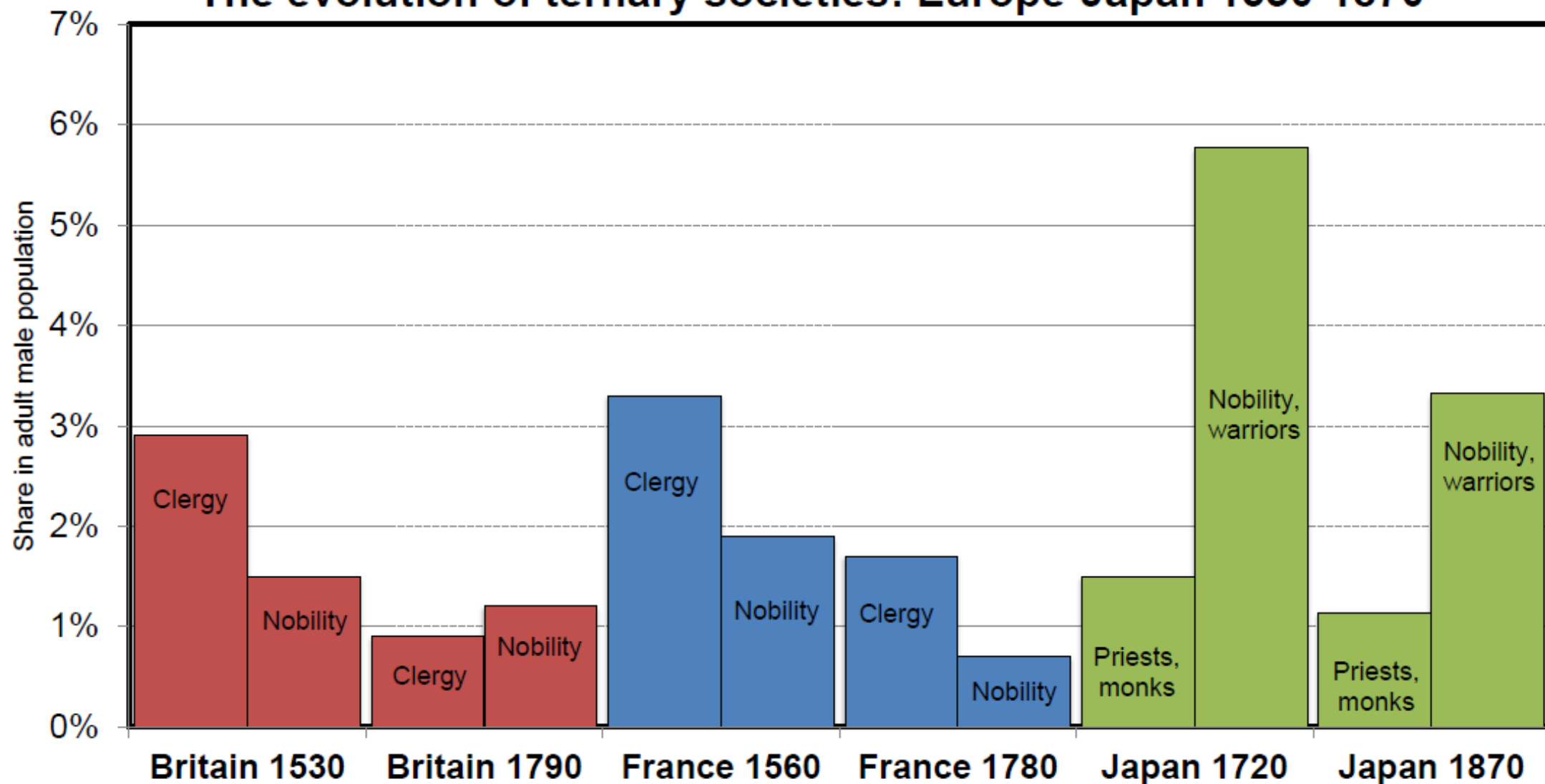
Interpretation. In Britain and in France, the two dominant classes of the trifunctional society (clergy and nobility) had a declining numerical importance between the 16th and the 18th century. In India, the numerical significance of brahmins and kshatryas (ancient classes of priests and warriors), as measured by British colonial censuses, dropped slightly between 1880 and 1930, albeit at significantly higher levels than the corresponding classes in Europe in the 16th-18th centuries. **Sources and series:** see piketty.pse.ens.fr/ideology (figure 8.3).

The rigidification of upper castes in India, 1871-2014



Interpretation. The results reported here were obtained from British colonial censuses conducted between 1871 and 1931 and from post-electoral surveys (self-declaration) conducted between 1962 and 2014. One observes a relative stability over time of the fraction of the population registered as brahmins (ancient class of priests and intellectuals), kshatriyas (rajputs) (ancient class of warriors) and other upper castes: vaishyas (banias) (craftsmen, tradepeople) and kayasths (writers, accountants). Other local upper castes such as marathas (about 2% of total population) were not included here. **Sources and series:** see piketty.pse.ens.fr/ideology (figure 8.4).

The evolution of ternary societies: Europe-Japan 1530-1870



Interpretation. In Britain and in France, the two dominant classes of the trifunctional society (clergy and nobility) had a declining numerical significance between the 16th and the 18th century. In Japan, the numerical strength of the high nobility (daimyo) and of warriors endowed with fiefdom was significantly higher than that of shinto priests and monks, but it dropped significantly between 1720 and 1870, according to the censuses conducted in Japan during Edo era and at the beginning of Meiji era. **Sources and series:** see piketty.pse.ens.fr/ideology (figure 9.3).

- **In effect, this induced an acceleration of trifunctional-proprietarian transition & the modernization trajectory in Japan**
- « **Burakumin** » in Japan (**quasi-untouchables**, see [Carré Annales HSS 2011](#)) were gradually integrated into the rest of society via modernization and the rise of the social and educational state in late 19c and early 20c
- **Japan 1870-1940: very different strategy of development and social integration than India under British rule** (colonial British rulers were happy to divide and rule Indian society and did not care much about equality, education and social integration, unlike Japanese rulers in Japan)
- Different trajectories and bifurcations are possible: **the development state can put an end to historical prejudice and discrimination**
- **Roms in Europe**: massive prejudice until today (comparable to Dalits in India) (=ex-serfs and slaves not integrated following emancipation in Romania 1865)

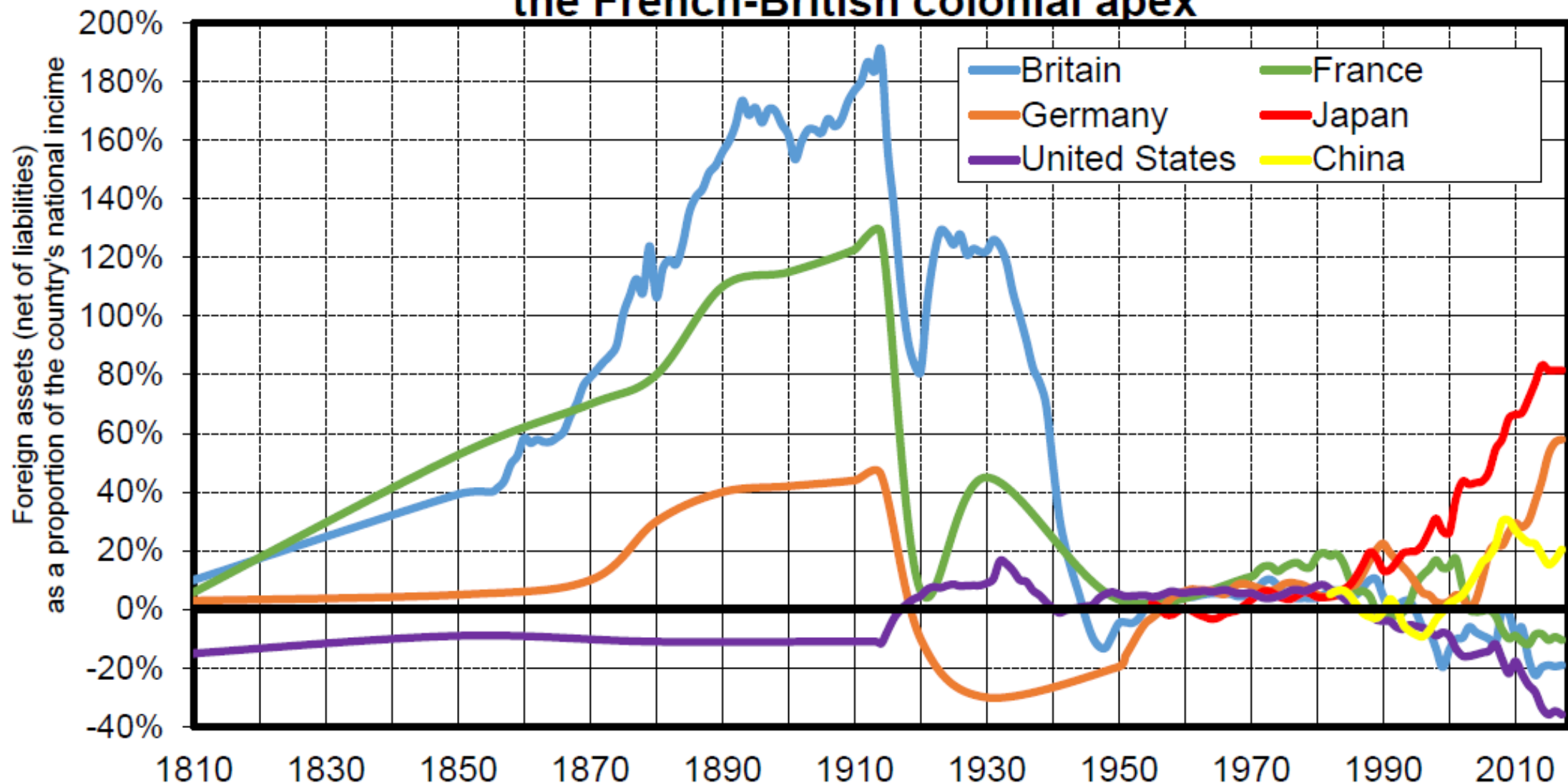
Trifunctional ideology, imperial regime & colonialism in 19c Imperial China

- Imperial China: very weak state (tax revenues < 2% GDP), relying extensively on local elites (like in Europe until 16c-18c)
 - Confucian intellectual-landowning elite vs Mandchu warrior elite
 - Same ternary logic as Europe, India, Japan, Iran, etc. (but Confucianism was more a civic religion than a religion in the Christian, Hindu or Muslim sense)
 - Very competitive exam to become top civil servants (*mandarins*)
 - But 50% of the seats were reserved for Mandchu warrior class (limited knowledge of classic Chinese)
 - Out of the 50% of the seats opened through the standard exam, about half of the candidates were allowed to buy the shengyuan (bachelor degree) required to take the exam (see e.g. H. Yifei, [Social Mobility & Meritocracy: Lessons from Chinese Imperial Civil Service Examination](#), CalTech 2016)
- fragile mixture of intellectual, proprietarian and warrior-class ideology

- **Legitimacy of imperial elites strongly damaged by Opium wars (1839-1842 and 1856-1860) and especially by Taiping revolt (1850-1864):** 20-30 million people killed (>WW1). Taiping ideology: mixture of egalitarian land reform and Christian messianism. Major threat to the imperial regime, which might not have survived without the final support of European powers.
- Military defeat against Japan 1895, Boxers revolt 1899-1901 → final loss of legitimacy of imperial regime → 1911 Revolution
- Constitution of 1911: proprietarian-conservative republic (Mandchu warrior elite is suppressed, but intellectual-proprietarian elite is maintained, with no redistribution of land toward poor peasants)
- Civil war & colonial Japanese-European occupation 1911-1949 → Communist victory, People's Republic of China established in 1949
- **Like Russia, China shifted almost directly from trifunctional ideology to communist ideology during 20c**

- **General lesson: large diversity of modernization trajectories.**
The feudalism-capitalism transition (French Revolution, invention of modern property) is only one of many possible trajectories and often involves more complex evolutions (British Lords, Swedish voting rules, etc.). Key role of slavery & post-slavery trajectories (US, Brasil, Africa) and colonial & post-colonial bifurcations (India, Iran, Japan, China, etc.).
- **The contradictions of global capital accumulation and colonialism played a key role in the fall of proprieratian societies during 20c**
- Politics & ideology about the inequality structure matter: crisis & revolutions come again and again and can lead to many different alternative legal regimes & socio-economic systems.
- **See next lecture about party systems and inequality in post-colonial, post-communist societies**

Foreign assets in historical perspective: the French-British colonial apex



Interpretation. Net foreign assets, i.e. the difference between assets owned abroad by resident owners (including in some cases the government) and liabilities (i.e. assets owned in the country by foreign owners), amounted in 1914 to 191% of national income in Britain and 125% in France. In 2018, net foreign assets reach 80% of national income in Japan, 58% in Germany and 20% in China.

Sources and series: see piketty.pse.ens.fr/ideology (figure 7.9).